

Sheet 1 of 10

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 003-007-C5	Application No. 10/006,088
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant SLIWA et al.	
		Filing Date December 5, 2001	Group Art Unit 3739

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS
M	A1 3,862,627	01/1975	Hans, Sr.		
	A2 4,802,475	02/1989	Weshahy		
	A3 4,815,470	03/1989	Curtis et al.		
	A4 4,872,346	10/1989	Kelly-Fry et al.		
	A5 4,917,095	04/1990	Fry et al.		
	A6 4,936,281	06/1990	Stasz, Peter		
	A7 5,080,102	01/1992	Dory		
	A8 5,108,390	04/1992	Potocky et al.		
	A9 5,147,355	09/1992	Friedman et al.		
	A10 5,222,501	06/1993	Ideker et al.		
	A11 5,224,943	07/1993	Goddard		
	A12 5,231,995	08/1993	Desai		
	A13 5,254,116	10/1993	Baust et al.		
	A14 5,263,493	11/1993	Avitall		
	A15 5,269,291	12/1993	Carter, Robert E.		
	A16 5,281,215	01/1994	Milder		
	A17 5,295,484	03/1994	Marcus et al.		
	A18 5,323,781	06/1994	Ideker et al.		
	A19 5,324,284	06/1994	Imran		
	A20 5,334,181	08/1994	Rubinsky et al.		
	A21 5,348,554	09/1994	Imran et al.		
	A22 5,353,783	10/1994	Nakao et al.		
	A23 5,354,258	10/1994	Dory		
	A24 5,385,148	01/1995	Lesh et al.		
	A25 5,405,376	04/1995	Mulier et al.		
	A26 5,423,807	06/1995	Milder		
	A27 5,423,811	06/1995	Imran et al.		
	A28 5,431,649	07/1995	Mulier et al.		
	A29 5,433,708	07/1995	Nichols et al.		
	A30 5,435,308	07/1995	Gallup et al.		
	A31 5,437,651	08/1995	Todd et al.		
	A32 5,450,843	09/1995	Moll et al.		
	A33 5,462,545	10/1995	Wang et al.		
	A34 5,465,717	11/1995	Imran et al.		
	A35 5,469,853	11/1995	Law et al.		
	A36 5,478,330	12/1995	Imran et al.		

Examiner Signature

M. Pfeifer

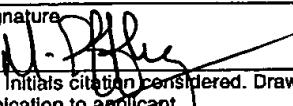
-Date Considered

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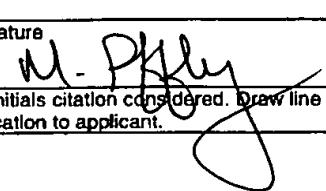
Sheet 2 of 10

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		A37	5,487,385	01/1996	Avitall		
		A38	5,487,757	01/1996	Truckai et al.		
		A39	5,500,012	03/1996	Brucker et al.		
		A40	5,520,682	05/1996	Baust et al.		
		A41	5,536,267	07/1996	Edwards et al.		
		A42	5,545,195	08/1996	Lennox et al.		
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		A45	5,555,883	09/1996	Avitall		
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		A47	5,571,088	11/1996	Lennox et al.		
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		A50	5,578,007	11/1996	Imran		
		A51	5,582,609	12/1996	Swanson et al.		
		A52	5,588,432	12/1996	Crowley		
		A53	5,590,657	01/1997	Cain et al.		
		A54	5,595,183	01/1997	Swanson et al.		
		A55	5,607,462	03/1997	Imran		
		A56	5,617,854	04/1997	Munsif		
		A57	5,630,837	05/1997	Crowley		
		A58	5,637,090	09/1997	McGee et al.		
		A59	5,643,197	07/1997	Brucker et al.		
		A60	5,656,029	08/1997	Imran et al.		
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		A62	5,671,747	09/1997	Connor		
		A63	5,673,695	10/1997	McGee et al.		
		A64	5,676,662	10/1997	Fleischhacker et al.		
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		A67	5,678,550	10/1997	Bassen et al.		
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		A72	5,688,267	11/1997	Panescu et al.		
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		A74	5,697,925	12/1997	Taylor		

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Sheet 3 of 10

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	A75	5,697,927	12/1997	Imran et al.			
	A76	5,697,928	12/1997	Walcott et al.			
	A77	5,713,942	02/1998	Stern			
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	A79	5,718,701	02/1998	Shai et al.			
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	A81	5,720,775	02/1998	Lanard			
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	A88	5,755,760	05/1998	Maguire et al.			
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	A91	5,797,960	08/1998	Stevens et al.			
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A97	5,840,030	11/1998	Ferek-Petric et al.				
A98	5,844,349	12/1998	Oakley et al.				
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MP	A111	5,899,899	05/1999	Arless et al.			
MP	A112	5,902,289	05/1999	Swartz et al.			

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Sheet 4 of 10

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(37 CFR §1.98(b))					CLASS	SUB-CLASS
EXAMINER INITIAL	*	DOCUMENT NO.	DATE	NAME		
<i>MWP</i>	A113	5,904,711	05/1999	Flom et al.		
	A114	5,906,580	05/1999	Kline-Schoder et al.		
	A115	5,916,214	06/1999	Cosio et al.		
	A116	5,921,924	07/1999	Avitall		
	A117	5,921,982	07/1999	Lesh et al.		
	A118	5,928,191	07/1999	Houser et al.		
	A119	5,927,284	07/1999	Borst et al.		
	A120	5,931,810	08/1999	Grabek		
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	A122	5,954,661	09/1999	Greenspon et al.		
	A123	5,971,980	10/1999	Sherman		
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	A125	5,993,447	11/1999	Blewett et al.		
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A128	6,042,556	03/2000	Beach et al.			
A129	6,071,279	06/2000	Whayne et al.			
A130	6,088,894	07/2000	Oakley			
A131	6,113,592	09/2000	Taylor			
A132	6,117,101	09/2000	Diederich et al.			
A133	6,120,496	09/2000	Whayne et al.			
A134	6,142,994	11/2000	Swanson et al.			
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A137	6,217,576 B1	04/2001	Tu et al.			
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A140	6,237,605	05/2001	Vaska et al.			
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A145	6,296,619 B1	10/2001	Brisken et al.			
A146	6,302,880 B1	10/2001	Schaer			
A147	6,311,692	11/2001	Vaska et al.			
A148	6,312,383 B1	11/2001	Lizzi et al.			
<i>MWP</i>	A149	6,314,962 B1	11/2001	Vaska et al.		
<i>MWP</i>	A150	6,314,963	11/2001	Vaska et al.		
Examiner Signature <i>M. Pflueger</i>			-Date Considered <i>10/12/03</i>			
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Sheet 5 of 10

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EXAMINER INITIAL	*	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS
	A151	6,325,797	12/2001	Stewart et al.		
	A152	6,332,881	12/2001	Carner et al.		
	A153	2002/0022839A1	02/2002	Stewart et al.		
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	A159	2002/0062124A1	05/2002	Keane		
	A160	6,383,151	05/2002	Diederich et al.		
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	A162	6,398,792	06/2002	O'Connor		
	A163	2002/0087151	07/2002	Mody et al.		
	A164	2002/0087208A1	07/2002	Koblish et al.		
	A165	6,413,254	07/2002	Hissong et al.		
	A166	6,419,648 B1	07/2002	Vitek et al.		
	A167	6,425,867	07/2002	Vaezy et al.		
	A168	6,430,426	08/2002	Avitall		
	A169	6,447,507	09/2002	Bednarek et al.		
	A170	2002/0143326A1	10/2002	Foley et al.		
	A171	6,461,314	10/2002	Pant et al.		
	A172	6,471,697	10/2002	Lesh		
	A173	6,461,956 B1	10/2002	Patterson		
	A174	6,464,700 B1	10/2002	Koblish et al.		
	A175	6,471,698 B1	10/2002	Edwards et al.		
	A176	6,474,340 B1	11/2002	Vaska et al.		
	A177	6,477,396 B1	11/2002	Mest et al.		
	A178	6,484,727 B1	11/2002	Vaska et al.		
	NPL	A179	6,527,767 B1	03/2003	Wang et al.	

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Sheet 6 of 10

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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	*	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION?
MP	B1	GB 2 094 636	09/1982	UK			
	B2	AU A-70522/96	11/1994	Australia			
	B3	WO 95/10318	04/1995	PCT			
	B4	WO 95/10319	04/1995	PCT			
	B5	WO 95/17222	06/1995	PCT			
	B6	WO 95/15115	06/1995	PCT			
	B7	WO 95/30380A	11/1995	PCT			
	B8	GB 2 289 510A	11/1995	UK			
	B9	WO 96/10961	04/1996	PCT			
	B10	WO 97/06727	02/1997	PCT			
	B11	WO 97/18853	05/1997	PCT			
	B12	WO 97/25918	07/1997	PCT			
	B13	WO 97/33526	09/1997	PCT			
	B14	WO 97/43970	11/1997	PCT			
	B15	WQ 98/24488	06/1998	PCT			
	B16	WO 98/26724	06/1998	PCT			
	B17	WO 98/37822	09/1998	PCT			
	B18	WO 98/49957	11/1998	PCT			
	B19	WO 98/48881	11/1998	PCT			
	B20	WO 99/02096	01/1999	PCT			
	B21	WO 99/04696	02/1999	PCT			
	B22	WO 99/48421A1	09/1999	PCT			
	B23	WO 99/49788	10/1999	PCT			
	B24	WO 99/56812	11/1999	PCT			
	B25	WO 99/59486	11/1999	PCT			
	B26	WO 00/45706	08/2000	PCT			
	B27	WO 00/57495	09/2000	PCT			
	B28	WO 01/03594A1	01/2001	PCT			
	B29	WO 01/05305A1	01/2001	PCT			
	B30	WO 01/28623A2	04/2001	PCT			
	B31	WO 01/45550A2	06/2001	PCT			
	B32	WO 01/66189A1	09/2001	PCT			
	B33	WO 01/70112A1	09/2001	PCT			
	B34	WO 01/72234A1	10/2001	PCT			
MP	B35	WO 01/72373A2	10/2001	PCT			
	B36	WO 01/82778A2	11/2001	PCT			

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Sheet 7 of 10

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		Filing Date December 5, 2001	Group Art Unit 3739		
MP	B37	WO 02/05720A1	01/2002	PCT	
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	B39	WO 02/05868A2	01/2002	PCT	
	B40	WO 02/077774A2	10/2002	PCT	
	B41	1181896A1	02/2002	EP	
	B42	WO 02/09610A1	02/2002	PCT	
	B43	WO 02/21995A2	03/2002	PCT	
	B44	WO 02/26142A1	04/2002	PCT	
	B45	WO 02/30310A1	01/2002	PCT	
	B46	WO 02/40093	05/2002	PCT	
NP	B47	WO 02/45608A2	06/2002	PCT	

Examiner Signature <i>M. Doherty</i>	Date Considered <i>11/12/03</i>
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EXAMINER INITIAL		Other Art (Including Author, Title, Date, Pages, etc.)
MP	C1	AFx, inc., "FLEX Surgical Ablation Device: Instructions for Use," Document No. 900044 Rev A; March 28, 2000: 1-9
	C2	AFx, inc., "AFx Microwave Generator, Series 1000; User's Manual," Document No. 900067/A/1310, (2000); 1-13
	C3	AFx, inc., "FLEX10™: Accessory for the Afx Microwave Ablation Aystem; Instructions for Use," Document No. 900077/C/1638, (2001); 1-8. Supplemental Document No. 900106/A/1586
	C4	AFx, inc., "LYNX Surgical Ablation Device: Instructions for Use," Document No. 900024/K/1114; 1-4
	C5	Boston Scientific, EP Technologies, "Cobra® Electrosurgical Unit, Operator's Manual: 4810 & 4811," Document No. 11292-001 EAW Ver AC, Feb 2000; pp. 1-1 thru 9-2
	C6	Boston Scientific, EP Technologies, "Cobra® Surgical Probe; Directions for Use," Document No. 13954-001 Rev A, October 2001: page 3
	C7	Boston Scientific, EP Technologies, "Cobra® RF System: The first surgical system for creating linear lesions." Document No. DEP-225 Rev A, Jan 2002
	C8	Caccitolo et al., "Open-Heart Endocardial Radiofrequency Ablation:..." <u>J of Surgical Research</u> , (2001); 97: 27-33
	C9	Chevalier, et al., "Thoracoscopic Epicardial Radiofrequency Ablation for Vagal Atrial Fibrillation in Dogs," <u>PACE</u> June 1999; 22 (Part I), 880-886.
	C10	Cox et al., "The Surgical Treatment of Atrial Fibrillation, IV Surgical Technique," <u>J Thorac Cardiovasc Surg</u> , 1991; 101: 584-592.
	C11	Cox et al., "The Maze III Procedure for Treatment of Atrial Fibrillation," <u>Cardiac Arrhythmias</u> , 78: 460-475.
	C12	Demazumder et al., "Comparison of Irrigated Electrode Designs for Radiofrequency Ablation of Myocardium," <u>J of Interventional Cardiac Electrophysiology</u> 5, (2001): 391-400
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	C14	Fieguth et al., "Inhibition of Atrial Fibrillation by Pulmonary Vein Isolation and Auricular Resection - Experimental Study in a Sheep Model," <u>European Journal of Cardio-Thoracic Surgery</u> , 1997;11:714-721.
	C15	He et al., "Preliminary Results Using Ultrasound Energy for Ablation of the Ventricular Myocardium in Dogs," <u>Am J Card</u> , 1994;73:1029-1031.
↓	C16	He et al., "Application of Ultrasound Energy for Intracardiac Ablation of Arrhythmias," <u>The European Society of Cardiology</u> , 1995;16:961-966.
MP	C17	Hunt, John W., "Application of Microwave, Ultrasound and Radiofrequency Heating," <u>Natl Cancer Inst Monogr</u> , (1982); 61: 447-456

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EXAMINER INITIAL		Other Art (Including Author, Title, Date, Pages, etc.)		
<i>MP</i>	C18	Hynynen et al., "Cylindrical Ultrasonic Transducers for Cardiac Catheter Ablation," <i>IEEE Transactions on Biomedical Engineering</i> , 1997;44(2):144-151.		
	C29	Inoue et al., "Video Assisted Thoracoscopic and Cardioscopic Radiofrequency Maze Ablation," <i>ASAIO Journal</i> , 1997;43:334-337.		
	C20	Jumrussirikul et al., "Prospective Comparison of Temperature Guided Microwave and Radiofrequency Catheter Ablation in the Swine Heart," <i>PACE</i> (1998); 21: 1364-1374		
	C21	Lee, et al., "High Intensity Focused Ultrasound Effect on Cardiac Tissues: Potential for Clinical Application," <i>Echocardiography</i> , (2000) Vol 17, No 6, Part 1: 563-566		
	C22	Liem et al. "Microwave Catheter Ablation Using a Clinical Prototype System with a Lateral Firing Antenna Design," <i>PACE</i> , (1998); 21 [pt 1]: 714-721		
	C23	McRury, Ian D., Haines, David E., "Ablation for the Treatment of Arrhythmias," <i>Proceedings of the IEEE</i> , (1996); Vol 84, No 3: 404-415		
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Examiner Signature <i>M. Shibley</i>		-Date Considered <i>11/12/03</i>		
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				

Sheet 10 of 10

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 003-007-C5	Application No. 10/006,088
Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant SLIWA et al.		
(37 CFR §1.98(b))		Filing Date December 5, 2001	Group Art Unit 3739	
EXAMINER INITIAL		Other Art (Including Author, Title, Date, Pages, etc.)		
<i>MP</i>	C36	Vanderbrink et al., "Safety and Efficacy of a Steerable Temperature Monitoring Microwave Catheter System for Ventriculat Myocardial Ablation," <u>J Cardiovasc Electrophysiol</u> , (2000); Vol 11: 305-310		
	C37	Von Oppell et al., "Effectiveness of two radiofrequency ablation systems in atrial tissue," <u>Euro J of Cardio-thoracic Surg</u> , (2001); 20: 956-960		
	C38	Watanabe et al., "Experimental Application of Microwave Tissue Coagulation to Ventricular Myocardium," <u>Ann Thorac Surg</u> , (1999); 67: 666-671		
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Examiner Signature <i>M. Doherty</i>	-Date Considered <i>11/12/03</i>
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Sheet 1 of 2

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 003-007-C5	Application No. 10/006,088
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant SLIWA et al.		
		Filing Date December 5, 2001	Group Art Unit 3739	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
MP	AA	4,736,749	04/12/1988	LUNDBACK			
	AB	5,277,201	01/11/1994	STERN			
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Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes No
	AS	WO 95/10321	04/20/1995	PCT			
	AT	WO 95/10978	04/27/1995	PCT			
	AU	WO 96/26675	09/06/1996	PCT			
	AV	WO 96/39966	12/19/1996	PCT			
MP	AW	WO 97/17904	05/22/1997	PCT			
MP	AX	WO 97/25916	07/24/1997	PCT			

Examiner Signature	Date Considered
<i>M. Dffly</i>	<i>11/12/03</i>
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Substitute Disclosure Form (PTO-1449)

Sheet 2 of 2

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 003-007-C5	Application No. 10/006,088
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant SLIWA et al.	
		Filing Date December 5, 2001	Group Art Unit 3739

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes No
MP	AY	WO 97/25919	07/24/1997	PCT			
	AZ	WO 97/32525	09/12/1997	PCT			
	AAA	WO 97/37607	10/16/1997	PCT			
	ABB	WO 97/41793	11/13/1997	PCT			
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Examiner Initial	Desig. ID	Document
	AFF	Avitall et al., "A Thoracoscopic Approach to Ablate Atrial Fibrillation Via Linear Radiofrequency Lesion Generation on the Epicardium of Both Atria," PACE, April 1996;19(Part II):626,#241
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Examiner Signature 	Date Considered 11/12/03
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